Rebates for new Trading Members with affiliated existing Trading Members

DEUTSCHE BÖRSE CASH MARKET

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Applicant

Name of the applying exchange participant

Address 1 Street No.

Address 2

Zip Code City

Country

Contact person

First name/ Last name

Telephone Fax

E-Mail

Xetra Member ID

☐ Set-up effective (DDMMYY) _____
(First trading day of the month)

Fee rebates and waivers for new Trading Members with affiliated existing Trading Members

Trading Members newly admitted on or after 01 September 2018 for Trading on Xetra or Börse Frankfurt that are affiliated with a company admitted as a Trading Member before 01 September 2018 may register for

(a) a temporary rebate on connection fees pursuant to section 1.5 and

(b) a temporary waiver of minimum transaction fees pursuant to section 2.1 of the Price List for the Utilization of the Exchange EDP of FWB Frankfurt Stock Exchange and of the EDP XONTRO relating to Part A (T7 and Xetra Price List).

To register, the applying Trading Member has to submit the Member ID of the affiliated member. The registration form has
to be signed by authorized persons (named on the signature list provided to Deutsche Boerse AG) of the applying Trading Member and the affiliated existing member. The signatories confirm that both companies are affiliated in the meaning of Footnote 1 and acknowledge, that connection fee data of both companies will be used to calculate the rebate.

Rebates and waivers will be applied on the monthly fees incurred by the applicant in the timeframe October 2018 to June 2019 (including). Please note that the rebates and waivers may be processed via separate credit notes with one month delay on a monthly basis.

We would like to register for fee rebates and waivers for affiliated memberships. The Member ID of the affiliated existing member is:

Main Xetra Member ID of affiliated company

Date Place Company stamp and authorized signature of the applicant (Company name in print letters)

Date Place Company stamp and authorized signature of the affiliated existing member (Company name in print letters)

[1] "Affiliate" shall mean, with respect to any company, any other entity that directly, or indirectly through one or more intermediaries, controls or is controlled by such company or is under common control with the company in question. For purposes of this definition, "control" means the possession, directly or indirectly, of 50 % or more of the equity interests of a company or the power to direct or cause the direction of the management and policies of a company, whether through ownership of voting securities, by contract or otherwise.

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