

Application for the Authorization of an Order-Routing System for Exchange EDP Electronic Trading (Xetra)



FWB®Frankfurter Wertpapierbörse
 c/o Deutsche Börse AG
 Group Client Key Account Management Trading
 60485 Frankfurt am Main
 Germany

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Applicant

Name of the applying exchange member

Address 1		Street No
Address 2		
Zip Code	City	
Country		
Value Added Tax Identification Number (EU-countries only)		

Contact Person

First name / Last name

Telephone	Fax
E-Mail	

Member-ID

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Application for the Authorization of an order-routing system to the Exchange EDP Trading pursuant to § 37 of the Exchange Rules for the Frankfurter Wertpapierbörse (FWB).

I. We hereby apply for the authorization to connect an automatic order routing system to the Exchange EDP Electronic Trading System via the offered interface pursuant to § 37 of the Exchange The Rules for the Frankfurter Wertpapierbörse (FWB).

The name of the order-routing is: _____

or

a further user code for an already approved order-routing system.

II. The responsible person in the sense of § 37 paragraph 2 of the Exchange Rules for the Frankfurter Wertpapierbörse (FWB) is the following admitted exchange trader, respectively a further user code shall be set up for:

Exchange EDP Electronic Trading (Xetra®)

User Code	Name of Trader	Phone Number	Signature
OR			

Xetra (XETR)

Börse Frankfurt (XFRA)

Any changes of the responsible trader and/or changes of the user code must be reported to the exchange immediately!

- III. We guarantee that at all times we will uphold the conditions and instructions set out in § 37 FWB Exchange Rules as regards connecting and operating an order routing system.

In particular, we are aware that the forwarding of trading data and information from the EDP system of the Exchange via an Order Routing System by an Exchange Participant to third parties requires the respective consent of the FWB Board of Management according to § 112 FWB Exchange Rules.

Place

Date

Company stamp and signature
(company name in capitals)