

Deutsche Börse AG
Market Development
Sales
60485 Frankfurt am Main
Germany

By Fax: + 49-(0)69-2 11-1 44 64

Applicant

Name of issuer

Address

Street No Address 1

Address 2

Zip Code City

Country

Contact person

First Name

Last Name

Phone Fax

E-mail

Application for Participation in the Exchange Traded Funds & Exchange Traded Products Segment

We hereby apply for participation in the Exchange Traded Funds & Exchange Traded Products Segment of Deutsche Börse AG. We confirm that we have read the „Conditions for Participation“ and are in full agreement with them.

The completed application form (Appendix 1) is enclosed along with this application.

Date

Place

Company stamp and signature of applicant

Appendix 1

Name of financial instrument	_____
Type of financial instrument	<input type="checkbox"/> ETF <input type="checkbox"/> Active ETF <input type="checkbox"/> ETC <input type="checkbox"/> ETN
Description of financial instrument / investment objective	_____
ISIN / Xetra code of financial instrument	ISIN: _____ Xetra Code: _____
Reuters RIC / Bloomberg Code of financial instrument	Reuters RIC: _____ Bloomberg Code: _____
Duration of financial instrument	_____
Base currency of financial instrument	_____
Trading currency of financial instrument	<input type="checkbox"/> EUR <input type="checkbox"/> USD <input type="checkbox"/> GBP <input type="checkbox"/> CHF <input type="checkbox"/> SEK
Replication method of underlying index / instrument	<input type="checkbox"/> Swap-based <input type="checkbox"/> Full Replication <input type="checkbox"/> Other: _____
Income distribution policy of financial instrument	<input type="checkbox"/> distribution <input type="checkbox"/> capitalization
Income distribution frequency	<input type="checkbox"/> annually <input type="checkbox"/> semi-annually <input type="checkbox"/> quarterly <input type="checkbox"/> other: _____
Type of collateralisation of financial instrument (only on case of swap-based ETFs, ETCs and ETNs):	<input type="checkbox"/> Physically backed by commodities <input type="checkbox"/> Backed by collateral held in trust <input type="checkbox"/> Backed by third party <input type="checkbox"/> Other: _____ <input type="checkbox"/> None
Description of type of collateralisation (type of collateral used, degree of collateralisation, valuation frequency, etc.)	_____
Fee structure of financial instrument:	Management fee: _____ Total Expense Ratio (TER): _____ Other product-specific costs not included in TER: _____

UCITS III-compliant fund (ETFs only)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Transparent fund according to Investment Act (ETFs only)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Date of commencement of public distribution within Germany	_____
Further listings on other stock exchanges or organized markets	_____
Underlying index / instrument	_____
Description of underlying index / instrument	_____
ISIN / Reuters RIC / Bloomberg Code of underlying index / instrument	<i>ISIN:</i> _____ <i>Reuters RIC:</i> _____ <i>Bloomberg Code:</i> _____
Proportionate value of financial instrument to underlying index / instrument	_____
Composition of underlying index / instrument at commencement of trading or source of information at which composition of underlying index / instrument at commencement of trading is accessible to the public	<input type="checkbox"/> See attachment <input type="checkbox"/> Website: _____
Contact person for calculation of indicative NAV (Net Asset Value)	_____
Calculation method of indicative NAV	<input type="checkbox"/> Index-based <input type="checkbox"/> Portfolio-based <input type="checkbox"/> Other: _____
ISIN / Xetra-Code of indicative NAV	<i>ISIN:</i> _____ <i>Xetra Code:</i> _____
Reuters RIC / Bloomberg Code of indicative NAV	<i>Reuters RIC:</i> _____ <i>Bloomberg Code:</i> _____

Issuer	_____
Description of issuer	_____
Contact address of issuer	_____
Contact person of issuer	_____
Further information sources for investors (websites, e-mail addresses, product hotlines, Reuters pagers etc.)	_____
Other distribution channels	_____
Contact addresses of authorised institutions that may effect the creation and redemption of shares directly with the investment company or the issuer	_____
Contact address(es) of Designated Sponsor(s)	_____
Maximum bid/offer spread for Designated Sponsors on the electronic trading system of FSE	_____ %
Minimum quotation volume for Designated Sponsors on the electronic trading system of FSE	_____ <input type="checkbox"/> EUR <input type="checkbox"/> USD <input type="checkbox"/> GBP <input type="checkbox"/> CHF <input type="checkbox"/> SEK <input type="checkbox"/> Shares

Appendix 2: Information to be submitted electronically on a daily basis*

Name of financial instrument	_____
ISIN of financial instrument	_____
Securities held by financial instrument	_____
ISIN codes of securities held by financial instrument	_____
Number of shares held of each security	_____
Cash component of financial instrument	_____
Net asset value of last trading day	_____
Assets under management in Euro	_____

* to be sent to the following e-mail address: funds.data@deutsche-boerse.com