

Frankfurter Wertpapierbörse (FWB®)
- Management Board -
c/o Deutsche Börse AG
Listing Services & Rule Enforcement
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Germany

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Application for introduction of admitted securities to trading on the Regulated Market

1. Issuer (§ 38 Par. 1 German Stock Exchange Act (BörsG), § 58 Par. 1 Exchange Rules for the Frankfurt Stock Exchange (BörsO FWB))
Company name: _____
Registered office: _____
Business address: _____
Legal Entity Identifier (LEI): _____
If the issuer is represented by an authorised person:
Company name: _____
Registered office: _____
Business address: _____
<input type="checkbox"/> The written power of attorney is attached hereto.
<input type="checkbox"/> The written power of attorney has already been filed within the context of the admission procedure.
Contact person of the applicant:
Company name: _____
Contact person: _____
Department: _____
Address: _____
Phone/Fax: _____
E-Mail: _____

2. Invoice recipient for introduction fee (if different from debtor (issuer)*)	
Company name: _____	
Contact person: _____	
Department: _____	
Address: _____	
E-Mail: _____	
Value added tax identification number (VATID): _____	
* A differing debtor does not affect the legal status of the debtor according to the fee regulation of the FSE (§ 4 Par. 2 GebO FWB).	
3. Time schedule	
Date of introduction: Note: The requested date is not binding apart from legal obligations.	
Date of admission:	
Date and time the issuer has approved admission / introduction to trading of the securities:	
File reference of admission procedure:	
Listing with regard to § 48 a BörsZulV (Rahmenezulassung): Concerning the above-mentioned file reference securities in the amount of EUR _____ were issued. The final terms relating to the securities subject to the application were published on _____ pursuant to Article 8 section 5 subparagraph 1 of Regulation (EU) 2017/1129.	
4. Information regarding the introduction of new securities (Please provide further information in Appendix 1 and 2, respectively)	
4.1 Shares / certificates representing shares	
Segment: <input type="checkbox"/> Regulated Market / General Standard <input type="checkbox"/> Regulated Market / Prime Standard <input type="checkbox"/> REITs	
First price quotation: <input type="checkbox"/> MIC: XETR (Handelsplatz Xetra) <input type="checkbox"/> MIC: XFRA (Börse Frankfurt) Note: Information only in connection with new issues (IPOs) required.	

4.2 Bonds
<p>Segment:</p> <p><input type="checkbox"/> Regulated Market / General Standard</p> <p><input type="checkbox"/> Regulated Market / Prime Standard for Corporate Bonds</p>
<p><input type="checkbox"/> The securities to be listed are admitted by virtue of law (§ 37 BörsG)</p>
<p>Calculation of accrued interest:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>Type of listing:</p> <p><input type="checkbox"/> Quotation per unit</p> <p><input type="checkbox"/> Quotation in percent</p>
<p>Trading model (for MIC: XFRA):</p> <p>Continuous auction with specialist</p> <p><input type="checkbox"/> Single auction</p> <p>Note: The Management Board determines the trading model securities are supposed to be traded (§ 66 BörsO FWB).</p>
<p>Bond categories (Mandatory):</p> <p><input type="checkbox"/> Corporates</p> <p><input type="checkbox"/> Floater and structured bonds</p> <p><input type="checkbox"/> Emerging markets</p> <p><input type="checkbox"/> Jumbos and Pfandbriefe</p> <p><input type="checkbox"/> Jumbos and structured bonds</p> <p><input type="checkbox"/> German Federal Bonds (Bundesanleihen)</p> <p><input type="checkbox"/> Other government bonds (Sonstige Staatsanleihen)</p> <p><input type="checkbox"/> Bonds issued by the „Public Sector“ (Anleihen der Öffentlichen Hand)</p> <p><input type="checkbox"/> Other</p>

4.3 Other ETF ETN ETC**Multi Currency Trading (only for trading on MIC: XETR):** Yes, in No (Trading in EUR) AUD CAD CHF CNY GBP SEK USD YEN**5. Assignment of specialist****Information regarding specialist**

Designated Specialist*:

 Yes

Specialist: _____ CBF No.: _____

 No

* The inclusion of securities into the specialist contract (Vertrag über die Beauftragung als Spezialist (Spezialistenauswahl)) is subject to a decision of the DBAG pursuant to the specialist contract. Relevant for the decision above is the status prevailing on the third day of trading before the applied date of introduction (T-3) at 10:00 a.m. Postponement of the introduction date will generally not affect the original decision.

6. Confirmation regarding all securities to be introduced to trading

The securities to be admitted are no derivatives according to article 2 paragraph 1 no 29 regulation (EU) 600/2014 (MiFIR) in connection with article 4 paragraph 1 no 44 letter c of the directive 2014/65/EU or in connection with annex I section C paragraphs 4 to 10 of the directive 2014/65/EU (MiFID II), respectively.

7. Compliance with applicable sanctions (if not already confirmed within the application form for admission)

Please note that applicable sanctions (in particular Regulation (EU) 833/2014 of the Council of the European Union, as amended) must be observed. Insofar as the application for admission refers to multi-asset products*, it should be noted that their portfolio may not contain any transferable securities that may not be traded or included, directly or indirectly, due to applicable EU sanctions (in particular Regulation (EU) 833/2014).

The applicants confirm that they have come to the conclusion after the examination that the securities in question are not securities which may not be directly or indirectly traded or included due to applicable EU sanctions (in particular Regulation (EU) 833/2014),

It is pointed out that the Frankfurt Stock Exchange, within the scope of its right to information pursuant to § 41 BörsG the Frankfurt Stock Exchange will obtain information from the issuer even after the date of admission to ensure compliance with applicable EU sanctions.

* Multi-asset products (e.g. ETF, funds, ADRs/GDRs) according to FAQs of the European Commission on the implementation of Regulations of the European Council No. 833/2014 and No. 269/2014 in the currently valid version.

	<hr/> Name of the applicant
	<hr/> Signature of the applicant
<hr/> Place, Date	

Appendix 1 – Shares / certificates representing shares

ISIN	Units	Description	smallest trading unit	Identification Code (only for IPO)	Dividend right	Conditional Capital <i>yes / no</i>	Custodian
Further information:							

Appendix 2 – Bonds / Others

ISIN	Issuing Volume	Trading Currency	Interest rate	Description	smallest trading unit	Valuta	Termination	Custodian
Further information:								